AO 10 Rev. 1/2015

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|--|--|---------------------|
| Nguyen, Jacqueline H. | U.S. Court of Appeals for the Ninth Circuit | 07/29/2015 |
| Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) | 5a. Report Type (check appropriate type) | 6. Reporting Period |
| See Supplementary See Supplementary See Supplementary Supplementary See Supplementar | Nomination Date | 01/01/2014 |
| United States Circuit Judge - Active | ☐ Initial ✓ Annual ☐ Final | to 12/31/2014 |
| | 5b. Amended Report | ,2,2,7,201 |
| 7. Chambers or Office Address | | |
| Richard H. Chambers U.S. Courthouse | | |
| 125 S. Grand Avenue Pasadena, CA 91105 | | |
| | | |
| | actions accompanying this form must be followed. Complete for each part where you have no reportable information. | all parts, |
| | | |
| I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing | instructions.) | |
| NONE (No reportable positions.) | | |
| POSITION | NAME OF ORGAN | NIZATION/ENTITY |
| | A | |
| 1. Trustee | Trust #1 | |
| 2. | | |
| 2 | | |
| 3. | | |
| 4. | | Δ |
| 5. | | |
| | | |
| | | |
| | | |
| II. AGREEMENTS. (Reporting individual only; see pp. 14-1 | 6 of filing instructions.) | |
| ✓ NONE (No reportable agreements.) | | |
| DATE | PARTIES AND TERMS | |
| 1. | | |
| 2. | | |
| 3. | | |

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Name of Person Reporting

Nguyen, Jacqueline H.

Date of Report

07/29/2015

| III. N | ON-INVESTMEN | T INCOME. (Re | porting individual and spouse; see | pp. 17-24 of filing instructions | s.) |
|--------------|---------------------------------|--------------------------------|-------------------------------------|----------------------------------|------------------------------|
| A. Filer | r's Non-Investment In | icome | | | |
| √ | NONE (No reportable | non-investment inco | ome.) | | |
| | DATE | | SOURCE AND T | YPE | INCOME (yours, not spouse's) |
| 1. | | | | | |
| 2. | sa | | | | |
| 3. | | 8 | | | |
| 4. | | | | | |
| | | | + | | |
| | use's Non-Investment | | narried during any portion of the r | eporting year, complete this sec | ction. |
| | NONE (No reportable | non-investment inco | ome.) | | |
| | DATE | | SOURCE AND | ГҮРЕ | |
| 1. 2014 | | self-employe | d attorney | | |
| 2. | | , | | | |
| 3. | | | | | |
| 4. | | | | | , |
| | | | | | |
| IV. R | EIMBURSEMEN | TS – transportation, lod | ging, food, entertainment. | | |
| (Includes | those to spouse and dependent c | hildren; see pp. 25-27 of fili | ng instructions.) | | |
| \checkmark | NONE (No reportable | reimbursements.) | | | |
| | SOURCE | DATES | LOCATION | <u>PURPOSE</u> | ITEMS PAID OR PROVIDED |
| 1 | | | · · | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | - | | |
| 5. | | | | | |

| FINANCIAL DISCLOSURE REPORT | Name of Person Reporting | Date of Report |
|---|--|----------------|
| Page 3 of 7 | Nguyen, Jacqueline H. | 07/29/2015 |
| V. GIFTS. (Includes those to spouse and dependent children; see pp NONE (No reportable gifts.) | 28-31 of filing instructions.) | |
| SOURCE | DESCRIPTION | VALUE |
| × | | |
| <u>.</u> | | |
| i | | |
| i. | | |
| j. | | |
| VI. LIABILITIES. (Includes those of spouse and dependent che NONE (No reportable liabilities.) | ildren; see pp. 32-33 of filing instructions.) | × |
| CREDITOR | DESCRIPTION | VALUE CODE |

Wells Fargo Bank (Part VII, line 3)

Bank of America (Part VII, line 2)

3.

4.

5.

Mortgage

Mortgage

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| Name of Person Reporting | Date of Report |
|--------------------------|----------------|
| Nguyen, Jacqueline H. | 07/29/2015 |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

| | NONE (No reportable income, ass | | | | | F 278 | 2 | - 2 8 | | |
|-----|---|----------------------------------|---|---------------------------------|---|--|-------------------------|---------------------------------|--------------------------------|---|
| | A. | | B. | (| Σ. | | | D. | | |
| | Description of Assets | | me during | | lue at end | | Transactio | ons during | reporting | period |
| | (including trust assets) | repor | ting period | of reporti | ing period | | | | | |
| | Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 1. | Perkins Mid-Cap Value Fund | A | Int./Div. | K | Т | | | | | |
| 2. | Los Angeles Property #1 (Y) -Trust #1 | | None | | | | | | | |
| 3. | Orange County Property #2 - Trust #1 | D | Rent | N | w | | | | | |
| 4. | Bank of America checking | A | Int./Div. | J | Т | | | | | |
| 5. | Southland Federal Credit Union #1 | A | Int./Div. | K | Т | | | | | |
| 6. | Southland Federal Credit Union Account #2 | В | Int./Div. | L | Т | | | | | |
| 7. | Charles Schwab cash/money market deposit account | A | Int./Div. | J | Т | | | | | |
| 8. | Putnam MultiCap Growth -IRA#1 (formerly Bank of America IRA) | А | Int./Div. | J | Т | | | | | |
| 9. | Los Angeles County 401(k) Retirement Plan (h) | | None | 0 | Т | | | | | |
| 10. | -Savings Stable Value Fund | | | | | | | | | |
| 11. | -Savings Large Cap Equity Fund | | | | | | | | | |
| 12. | -Savings Small Cap Equity Fund | | | | | | | | | |
| 13. | -Savings 2020 Target Date Fund | | | | | | | | | |
| 14. | -Savings 2030 Target Date Fund | | | | | | | | | |
| 15. | ScholarShare College Savings Plan-CA Porfolio 2021 age based | | None | L | Т | | | | | |
| 16. | ScholarShare College Savings Plan-CA Porfolio 2018 age based | | None | K | Т | | | | | |
| 17. | County of Los Angeles 457 Savings Plan (h) | | None | М | Т | 20 | | | | |

Income Gain Codes:
 (See Columns B1 and D4)
 Value Codes

2. Value Codes (See Columns C1 and D3)

Value Method Codes (See Column C2) A =\$1,000 or less F =\$50,001 - \$100,000

J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000

Q =Appraisal U =Book Value B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000

K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only) V =Other C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50.001 - \$100.000

L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

S =Assessment W =Estimated D =\$5,001 - \$15,000 H2 =More than \$5,000,000

H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E=\$15,001 - \$50,000

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| Name of Person Reporting | Date of Report |
|--------------------------|----------------|
| Nguyen, Jacqueline H. | 07/29/2015 |

VII INVESTMENTS and TRICTS

| | A. | | B. | (| 2. | | | D. | | |
|-----|---|----------------------------------|---|---------------------------------|---|---|-------------------------|---------------------------------|--------------------------------|---|
| | Description of Assets (including trust assets) | | me during ting period | | lue at end ing period | | Transactio | ons during | reporting | period |
| | Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code I (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 18. | -Horizons 2010 Target Date Fund | | | | | | | | | |
| 9. | -Horizons Non-US Equity Fund | | | | | | | | | |
| 20. | -Horizons Small Cap Equity Fund | | | | | | | | | |
| 21. | -Horizons Large Cap Equity Fund | | | | | | | | | |
| 22. | -Horizons Stable Income Fund | | | | | c | | | | |
| 23. | Putnam Muticap Growth-IRA#2 | A | Int./Div. | J | Т | | | | | |
| 24. | Janus Growth & Inc. | A | Int./Div. | J | Т | | | | | |
| 25. | Microsoft Corp. (X) | A | Int./Div. | J | Т | | | | | |

26.

U=Book Value

J =\$15,000 or less N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000 Q =Appraisal

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500 G=\$100,001 - \$1,000,000

K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

S =Assessment W =Estimated

D=\$5,001 - \$15,000

H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 E=\$15,001 - \$50,000

^{1.} Income Gain Codes: (See Columns B1 and D4)

^{2.} Value Codes (See Columns C1 and D3)

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| Name of Person Reporting | Date of Report |
|--------------------------|----------------|
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Part I. Trust #1 is a trust. I are co-trustees and beneficiaries, together with

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| Name of Person Reporting | Date of Report |
|--------------------------|----------------|
| Nguyen, Jacqueline H. | 07/29/2015 |

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jacqueline H. Nguyen

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544