

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

<b>1. Person Reporting (last name, first, middle initial)</b>  Sutton, Jeffrey S.	<b>2. Court or Organization</b>  Sixth Circuit Court of Appeals	<b>3. Date of Report</b>  05/11/2015
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  U.S. Circuit Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>6. Reporting Period</b>  01/01/2014 to 12/31/2014
<b>5b.</b> <input type="checkbox"/> Amended Report		
<b>7. Chambers or Office Address</b>  85 Marconi Boulevard Columbus, OH 43215		
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Adjunct Faculty	The Ohio State University College of Law
2.		
3.		
4.		
5.		

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	1995	Ohio Public Employee's Retirement System; vested retirement account.
2.	1997	Ohio State Teacher's Retirement System; vested retirement account.
3.		

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2014	The Ohio State University College of Law	\$26,955.00
2. 2014	West Publishing	\$966.00
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	The Columbus Academy - teacher
2.	
3.	
4.	

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Harvard Law School	1/5-8/14 & 1/12-22/14	Boston, MA	Teach course at Harvard Law School.	Transportation, Meals, Room
2.	Vanderbilt University Law School	2/20-21/14	Nashville, TN	Teach course at Vanderbilt Law School.	Transportation, Meals, Room
3.	The Federalist Society	3/13-14/14	Tuscaloosa, AL	Participate in event.	Transportation, Meals, Room
4.	New York University	7/13-17/14	New York, NY	Participate in event.	Transportation, Meals, Room
5.	William & Mary Law School	9/18-20/14	Williamsburg, VA	Participate in event.	Transportation, Meals, Room
6.	AGS	11/5-11/14	Canberra, Australia	Participate in event.	Transportation, Meals, Room

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7. Duke Law	11/13-14/14	Washington, DC	Participate in event.	Transportation, Meals, Room
8.				
9.				

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**V. GIFTS.** (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	William M. Stern	Hotel room in Wanaka, New Zealand for 5 nights.	\$1,800.00
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Chase checking account	A	Interest	J	T					
2. Fidelity Investment Grade Bond Fund (IRA)	A	Interest	J	T					
3. Fidelity Cash Reserves Mutual Fund (IRA)	A	Interest	K	T					
4. Fidelity Select Health Care Mutual Fund (IRA)	A	Dividend	K	T					
5. Fidelity Spartan 500 Index Mutual Fund (IRA)	A	Dividend	K	T					
6. Fidelity Strategic Bond Fund (IRA)	A	Interest	J	T					
7. Fidelity Magellan Mutual Fund (IRA)	A	Dividend	J	T					
8. Fidelity Overseas Mutual Fund (IRA)	A	Dividend	J	T					
9. Vanguard Ohio Tax-Exempt Money Market Fund	A	Interest	K	T					
10. Vanguard S&P 500 Index Fund (IRA)	B	Dividend	N	T					
11. TIAA/CREF Retirement Plan	A	Int./Div.	L	T					
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: A=\$1,000 or less; B=\$1,001 - \$2,500; C=\$2,501 - \$5,000; D=\$5,001 - \$15,000; E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000; G=\$100,001 - \$1,000,000; H1=\$1,000,001 - \$5,000,000; H2=More than \$5,000,000  
 2. Value Codes: J=\$15,000 or less; K=\$15,001 - \$50,000; L=\$50,001 - \$100,000; M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000; O=\$500,001 - \$1,000,000; P1=\$1,000,001 - \$5,000,000; P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000; P4=More than \$50,000,000  
 3. Value Method Codes: Q=Appraisal; R=Cost (Real Estate Only); S=Assessment; T=Cash Market  
 (See Column C2) U=Book Value; V=Other; W=Estimated

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jeffrey S. Sutton**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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