AO 10 Rev. 1/2015	**FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014**	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial)	**2. Court or Organization**	**3. Date of Report**
Gorsuch, Neil M.	Tenth Circuit Court of Appeals	09/22/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	**6. Reporting Period** 1/1/2014 to 12/31/2014
7. Chambers or Office Address Byron White Courthouse 1823 Stout Street Denver, CO 80257		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member	Walden Group LLC (mountain property)
2.	Executive Committee, Board of Directors	Federal Judges Association
3.	Adjunct Professor	University of Colorado Law School
4.	Member	University of Chicago Law School's Visiting Committee
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2014	University of Colorado Law School - teaching agreement
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	Princeton University Press - book royalties	$304.94
2. 2014	University of Colorado Law School - teaching	$26,000.00
3. 2014	University of Colorado Law School - backpay (see note)	$3,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	New York University	4/06/14-4/08/14	New York City, NY	educational program	Transportation, meals, hotel
2.	Federal Judges Assoc.	5/03/14-5/04/14	Washington, DC	meeting	Transportation, meals, hotel
3.	University of Chicago Visiting Committee	10/29/15-10/31/14	Chicago, IL	educational program	Transportation, meals, hotel
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gorsuch, Neil M.	09/22/2015

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	USAA Brokerage Acct:									
2.	- Money Market, Tax Exempt	A	Int./Div.	J	T					
3.	- Long Term Tax Ex Bond Fund	D	Int./Div.	M	T					
4.	- High Yield Bond Fund	E	Int./Div.	N	T					
5.	- Int'l Fund	D	Int./Div.	N	T					
6.	- S&P Fund	D	Int./Div.	N	T					
7.	- Value Fund	D	Int./Div.	M	T					
8.	- Interm Tax Ex Bond Fund	D	Int./Div.	N	T					
9.	- Small Cap Fund	E	Int./Div.	M	T					
10.	- Short Term Tax Ex Bond Fund	C	Int./Div.	M	T					
11.	Walden Group LLC		None	N	W					
12.	529 Plans - VA Educ Savings Trust (Age Based)	E	Int./Div.	O	T					
13.	529 Plans - College Invest Savings Plan (Age Based)	C	Int./Div.	L	T					
14.	[redacted] 403B Plan - TIAA CREF	A	Int./Div.	J	T					
15.	Senate Credit Union checking	A	Int./Div.	J	T					
16.	USAA IRA Acct:									
17.	-Target Retirement 2030 Fund	E	Int./Div.	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gorsuch, Neil M.	09/22/2015

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. [redacted] USAA IRA Acct:									
19. -Target Retirement 2030 Fund	C	Int./Div.	L	T					
20. USAA SEP IRA Acct:									
21. - Money Market	A	Int./Div.	J	T					
22. - Precious Metals & Minerals Fund	A	Int./Div.	J	T					
23. - Global Oppty Fund	A	Int./Div.			Sold	08/11/14	J		
24. - Short Term Bond Fund	A	Int./Div.	K	T					
25. -Income Fund	A	Int./Div.	K	T					
26. - Intermediate Bond Fund	A	Int./Div.	J	T					
27. - USAA Real Return Fund	A	Int./Div.	J	T	Buy	09/12/14	J		
28. - USAA Managed Allocation Fd	A	Int./Div.	J	T	Buy	09/12/14	J		
29. - USAA High Income Fd	A	Int./Div.	J	T	Buy	09/12/14	J		
30. - USAA Emerging Markets Fd	A	Int./Div.	J	T	Buy	09/12/14	J		
31. - USAA International Fund Inst	A	Int./Div.	K	T	Buy	9/12/14	K		
32. - USAA Small Cap Stock Fund Inst	A	Int./Div.	J	T	Buy	09/12/14	J		
33. - USAA Income Stock Fund Inst	A	Int./Div.	J	T	Buy	09/12/14	J		
34. - USAA Growth Fund Instl	A	Int./Div.	J	T	Buy	09/12/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Gorsuch, Neil M.	09/22/2015

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. USAA CD Acct:									
36. - Money Market	A	Int./Div.	M	T					
37. - USAA Federal Savings Bank CD	A	Interest			Matured	12/01/14	M		
38. USAA P.I. Mgmt Acct:									
39. - Money Market, Tax Ex Fund	A	Int./Div.	K	T					
40. - Adams & Weld Cts School Muni Bond 12/1/24	A	Interest			Redeemed	12/01/14	K		
41. - Adams 12 Muni Bond 12/15/14	A	Interest			Redeemed	12/15/14	K		
42. - Aurora Wtr Rev Muni Bond 8/1/22	B	Interest	K	T					
43. - Boulder County CO Open Space Cap 7/15/22	B	Interest	L	T					
44. - Boulder Sch Dist 2 Muni Bond 12/1/15	A	Interest	K	T					
45. - Broomfield Sales and Use Tax 12/1/27	B	Interest	L	T					
46. - Colo St Brd Gov Unv Entr Sys Muni Bond 3/1/16	B	Interest	K	T					
47. - Colo Springs Col Util Muni Bond 11/15/20	B	Interest	K	T					
48. - Colo Springs Col Utl Muni Bond 11/15/26	B	Interest	K	T					
49. - Colo Wtr Res & Pwr Muni Bond 8/1/24	B	Interest	K	T					
50. - Denver Exc Tax Rev Muni Bond 9/1/18	B	Interest	K	T					
51. - Eagle Garfield Routt Muni Bond 12/1/23	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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52. - Longmont Co Enterprise WSTWTR Rev 11/1/26	B	Interest	K	T					
53. - Mesa College Muni Bond 5/15/23	B	Interest	K	T					
54. - Pueblo Cnty Sch Dist Muni Bond 12/15/21	B	Interest	K	T					
55. - S Migel & Montrose Muni Bond 12/1/17	A	Interest			Redeemed	12/01/14	K		
56. - Summit Cnty School Dist 12/1/21	B	Interest	K	T					
57. - Teller Sch Dist Muni Bond 12/1/20	A	Interest			Redeemed	12/01/14	K		
58. - Univ Colo Ent Sys Muni Bond 6/1/14	A	Interest			Matured	06/02/14	K		
59. -Univ Colo Ent Sys Muni Bond 6/1/25	B	Interest	K	T					
60. - Weld Sch Dist Muni Bond 12/1/19	B	Interest	K	T					
61. - Westminster Sls and Use Muni Bond 12/1/15	B	Interest	L	T					
62. - University Northn Colo Greeley Inst Bond 6/1/30	A	Interest	K	T	Buy	06/02/14	K		
63. - Greely Colo Wtr Rev Ref Bds 8/01/22		None	K	T	Buy	12/17/14	K		
64. - Arapahoe Cnty Solo Sch Dist 12/01/28		None	L	T	Buy	12/18/14	L		
65. - Short Term Tax Ex Bond Fund	A	Int./Div.	K	T	Buy (add'l)	02/06/14	J		
66.					Buy (add'l)	05/16/14	J		
67.					Buy (add'l)	12/01/14	L		
68.					Sold (part)	12/08/14	J		

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69.					Buy (add'l)	12/15/14	K		
70.					Sold (part)	12/17/14	K		
71.					Sold (part)	12/18/14	J		
72.					Buy (add'l)	12/31/14	J		
73. [redacted] USAA Rev Trust:									
74. - Money Market, Tax Ex Fund	A	Interest	K	T					
75. - USAA Savings CD 01/09/15	A	Interest	K	T	Buy	01/08/14	K		
76. - USAA Savings CD 01/09/17	A	Interest	K	T	Buy	01/08/14	K		
77. [redacted] NatWest bank acct	A	Interest			Closed	7/25/14	J		
78. [redacted] Banco Santander SA (SAN)		None	J	T	Open	08/12/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note

My contracts with the University of Colorado Law School for the academic year 2013-14 were for a total of $26,000. Due to an accounting error in 2013, however, the University neglected to pay $3,000 due that calendar year and remedied the error by paying that sum in 2014.

Name of Person Reporting	Date of Report
Gorsuch, Neil M.	09/22/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Neil M. Gorsuch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544