

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b> Sotomayor, Sonia	<b>2. Court or Organization</b> Supreme Court of the United States	<b>3. Date of Report</b> 05/01/2015
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> Associate Justice	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>6. Reporting Period</b> 1/1/2014 to 12/31/2014
<b>5b.</b> <input type="checkbox"/> Amended Report		
<b>7. Chambers or Office Address</b> 1 First Street, NE Washington, DC 20543		
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Yale University	2/2/2014 through 2/4/2014	New Haven, CT	Delivery of James A. Thomas Lecture	Transportation, Lodging and Meals
2.	University of Pittsburgh	2/4/2014 through 2/5/2014	Pittsburgh, PA	Conversation with University Chancellor Mark Nordingberg and Audience Q & A	Transportation, Lodging and Meals
3.	New Hampshire Public Radio	2/5/2014 through 2/6/2014	Portsmouth, NH	Conversation with Virginia Prescott and Audience Question and Answer Discussion	Transportation, Lodging and Meals
4.	Princeton University	2/21/2014 through 2/22/2014	Princeton, NJ	Acceptance of Woodrow Wilson Award	Transportation, Lodging and Meals

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5.	Multnomah Public Library and Literary Arts, Inc.	3/11/2014 through 3/12/2014	Portland, OR	Informal remarks	Transportation, Lodging and Meals
6.	Seton Hall University	4/10/2014	Newark, NJ	Judging Law School Moot Court Final Competition	Transportation and Meals
7.	American Academy Berlin	5/9/2014 through 5/13/2014	Berlin, Germany	Speech in Capacity as a "Lloyd Cutler Distinguished Visitor" and participate in panel	Transportation, Lodging and Meals
8.	Fordham University	5/17/2014	Bronx, NY	Acceptance of Honorary Degree	Transportation
9.	New York Woman's Forum	6/6/2014	New York, NY	Conversation with Edie Weiner and Audience Question and Answer Discussion	Transportation and Meals
10.	New York University	7/7/2014 through 7/11/2014	Florence, Italy	Participation in Law School Innovative Law and Policy Conference	Transportation, Lodging and Meals
11.	University of Tulsa	9/9/2014 through 9/11/2014	Tulsa, OK	Speech and Audience Question and Answer Discussion at Law School	Transportation, Lodging and Meals
12.	Fordham University	9/18/2014	New York, NY	Participation in Dedication of New School of Law Building	Transportation and Meals
13.	University of San Diego	10/17/2014 through 10/18/2014	San Diego, CA	Conversation with Judge Margaret McKeown and Audience Question and Answer Discussion	Meal
14.	National Association of Women Judges	10/18/2014 through 10/19/2014	San Diego, CA	Speech and Participation in Organization's Annual Conference	Transportation, Lodging and Meals
15.	Yale University	10/24/2014 through 10/26/2014	New Haven, CT	Acceptance of Yale University Award of Merit; Participation in Panel Discussions	Transportation, Lodging and Meals
16.	New York County Lawyers Association	12/17/2014	New York, NY	Remarks at Celebration of 225th Anniversary of Southern District of New York Court	Transportation and Meals

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. JP Morgan Chase, NA	Mortgage on Rental Property #1, New York, New York (Pt. VII, Line 12)	N
2.		
3.		
4.		
5.		

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
1. Capital One Bank Account (formerly ING Direct) (y)										
2. Citibank, N.A. Accounts	A	Interest	O	T						
3. Morgan Stanley Bank, NA (H)	A	Interest	J	T						
4. Templeton Global Bond A Fund	C	Dividend	K	T						
5. Columbia TRI LC Growth Class A MF Fund	D	Dividend	L	T						
6. Nuveen NWQ Large Cap Value A Fund	E	Dividend	K	T						
7. Thornburg IN Growth A Fund	C	Dividend	L	T						
8. Franklin FLTG RT DLY Access A Common	B	Dividend	K	T						
9. Morgan Stanley Bank, NA (IRA) (H)	A	Interest	J	T						
10. Blackrock GLB Allocation FD Class A (IRA)	D	Dividend	K	T						
11. Pimco Unconstrained BDA (IRA)	A	Dividend	K	T						
12. Rental Property #1, New York, NY Appraised 2012	D	Rent	P1	Q						
13.										
14.										
15.										
16.										
17.										

1. Income Gain Codes: (See Columns B1 and D4)  
 2. Value Codes (See Columns C1 and D3)  
 3. Value Method Codes (See Column C2)

A = \$1,000 or less  
 F = \$50,001 - \$100,000  
 J = \$15,000 or less  
 N = \$250,001 - \$500,000  
 P3 = \$25,000,001 - \$50,000,000  
 Q = Appraisal  
 U = Book Value

B = \$1,001 - \$2,500  
 G = \$100,001 - \$1,000,000  
 K = \$15,001 - \$50,000  
 O = \$500,001 - \$1,000,000  
 R = Cost (Real Estate Only)  
 V = Other

C = \$2,501 - \$5,000  
 H1 = \$1,000,001 - \$5,000,000  
 L = \$50,001 - \$100,000  
 P1 = \$1,000,001 - \$5,000,000  
 P4 = More than \$50,000,000  
 S = Assessment  
 W = Estimated

D = \$5,001 - \$15,000  
 H2 = More than \$5,000,000  
 M = \$100,001 - \$250,000  
 P2 = \$5,000,001 - \$25,000,000  
 T = Cash Market

E = \$15,001 - \$50,000

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- a
- Part III - As I received no "Non-Investment Income" in 2014, Part III is marked "None". I have been advised by the Knopf Doubleday Group, publisher of my memoir, "My Beloved World", that it disbursed \$25,999.00 during 2014 to promote the sale of the memoir.
  - Part IV, Item 5. The cost of transportation for this event was shared by The University of Washington, where I participated in an event the previous day.
  - Part IV, Item 8. During my visit to Berlin, I participated in certain media interviews and promotional events arranged by the publisher of the German language edition of "My Beloved World".
  - Part IV, Items 13 and 14. The cost of transportation for these events was shared by San Jose State University, where I participated in an event held on October 20 and 21, 2014.
  - Part V, Gifts - During 2014 many people sent me gifts of books, art, jewelry and memorabilia. I have no reason to believe that any of those items exceeded the \$375.00 limit. If I should learn otherwise, I will amend this form.
  - Part VII, Item 1. Income and value in the account has fallen below level requiring reporting.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: *s/ Sonia Sotomayor*

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
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