

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2014**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Ginsburg, Ruth B.	2. Court or Organization Supreme Court of the United States	3. Date of Report 05/15/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Supreme Court of the United States One First Street, NE Washington, DC 20543		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust Article Fourth U/W Martin D. Ginsburg
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Ginsburg, Ruth B.

Date of Report

05/15/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 08/25/14	University of Minnesota - Stein lecturer	\$5,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. European Court of Justice & U.S. Supreme Court	February 8-12, 2014	Luxembourg	Participant in Legal Exchange program	transportation, lodging, food
2. Arizona State University	May 6-7, 2014	Phoenix, AZ	Participant in conversational program	transportation, lodging, food
3. New York University	July 7-11, 2014	Florence, Italy	Teacher	transportation, lodging, food
4. Chicago Bar Association	September 7-8 2014	Chicago, IL	Participant in conversational program	transportation, lodging, food
5. International Women's Health Coalition	September 9, 2014	Chicago, IL	Participant in conversational program	transportation, lodging, food

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Ginsburg, Ruth B.

Date of Report

05/15/2015

6.	University of Minnesota Law School	September 16, 2014	Minneapolis, MN	Participant in conversational program	transportation, lodging, food
7.	Cornell University	September 18, 2014	New York, NY	Participant in conversational program	transportation, lodging, food
8.	92nd Street Y	October 19-21, 2014	New York, NY	Participant in conversational program	transportation, lodging, food
9.	New York Historical Society	October 29, 2014	New York, NY	Participant in conversational program	transportation, lodging, food
10.	Southern District of New York	December 17-18, 2014	New York, NY	Speaker for NY Women's Law Forum	transportation, lodging, food

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Ginsburg, Ruth B.

Date of Report

05/15/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Ginsburg, Ruth B.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Checking account-Morgan Guaranty Trust Co., NYC		None	K	T					
2. Checking account PNC Bank, DC	A	Int./Div.	J	T					
3. TIAA CREF Retirement Accounts	A	Int./Div.	P1	T	Distributed (part)	12/01/14	L	F	
4. TIAA CREF Mutual Funds	E	Dividend	O	T					
5. -TIAA CREF Midcap Growth Fund									
6. -TIAA CREF Short Term Bond Fund									
7. Fried Frank Pension (commenced on death of spouse)	G	Distribution	P1	T					
8. JP Morgan Intermediate Tax Free Income Fund	D	Interest	N	T					
9. JP Morgan Short Duration Bond Fund		None			Sold	06/18/14	J	A	
10. JP Morgan Equity Income	D	Dividend	N	T	Sold (part)	06/18/14	K	D	
11. JP Morgan Market Expansion	B	Dividend	M	T	Sold (part)	06/18/14	K	B	
12. JP Morgan Investment Cash	A	Interest	K	T					
13. JP Morgan Tax Aware Equity	A	Dividend	M	T	Sold (part)	06/18/14	K	D	
14. JP Morgan Strategic Income Opportunity	D	Dividend	N	T					
15. JP Morgan Intrepid European Fund	D	Dividend	M	T					
16. JP Morgan Equity Focus Fund	A	Dividend	L	T					
17. JP Morgan Unconstrained Debt Fund formerly Multi Sector Income Fund	D	Dividend	N	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A=\$1,000 or less
F=\$50,001 - \$100,000

B=\$1,001 - \$2,500
G=\$100,001 - \$1,000,000

C=\$2,501 - \$5,000
H1=\$1,000,001 - \$5,000,000

D=\$5,001 - \$15,000
H2=More than \$5,000,000

E=\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J=\$15,000 or less
N=\$250,001 - \$500,000
P3=\$25,000,001 - \$50,000,000

K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000

L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000
P4=More than \$50,000,000

M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q=Appraisal
U=Book Value

R=Cost (Real Estate Only)
V=Other

S=Assessment
W=Estimated

T=Cash Market

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting	Date of Report
Ginsburg, Ruth B.	05/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. JP Morgan Float Rate Income Fund	D	Dividend	M	T					
19. JP Morgan SH-INT Muni Bond Fund	C	Int./Div.	N	T					
20. SPDR S&P 500 ETF Trust	B	Dividend	M	T					
21. ISHARES Core S&P Mid Cap ETF	B	Dividend	M	T					
22. ISHARES MSCI All Country Asia EX Japan Index Fund	B	Dividend	M	T					
23. Vanguard FTSE Europe ETF	C	Dividend	L	T					
24. ISHARES MSCI EAFE Index Fund	B	Dividend	L	T	Buy	06/18/14	L		
25. JPM Global Res Enh Index Fund	B	Dividend	L	T	Buy	06/18/14	L		
26. Trust Article 4th u/w/o Martin D. Ginsburg (beneficiary, trustee) (x)	E	Int./Div.	N	T	Distributed (part)	01/13/14	K		
27.					Distributed (part)	02/03/14	J		
28.					Distributed (part)	03/17/14	J		
29.					Distributed (part)	03/21/14	J		
30.					Distributed (part)	06/16/14	L		
31. -TIAA CREF MidCap Growth									
32. -TIAA CREF High Yield Fund									
33. -JP Morgan Chase Checking									
34. -JP Morgan Chase Savings									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Ginsburg, Ruth B.

Date of Report

05/15/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -Wegoma 1974 Associates									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Ginsburg, Ruth B.

Date of Report

05/15/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII item 32 Trust was created under article fourth of the will of Martin D. Ginsburg (spouse, deceased 6/27/10). Trust was not funded until July 31, 2013.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting	Date of Report
Ginsburg, Ruth B.	05/15/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ruth B. Ginsburg

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544