AO 10
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2016

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alito, Samuel A.	**2. Court or Organization** United States Supreme Court	**3. Date of Report** 05/18/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States Supreme Court 1 First Street, NE Washington, DC 20544		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member	ABA Advisory Committee on the Law Library of Congress
2.	Member	Honorary Board of the Franciscan Monastery of the Holy Land in the United States
3.	Visiting Faculty	St. John's College Law School
4.	Visiting Faculty	Duke University Law School
5.	Visiting Faculty	Tulane University Law School

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 04/4/2016	St. John's College Law School - Teaching	$5,000.00
2. 06/03/2016	Duke University Law School - Teaching	$5,000.00
3. 07/23/2016	Tulane University Law School Summer Program - Teaching	$17,255.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Fordham Law School	04/01 to 04/03	New York, NY	Moot Court	Transportation, Lodging, Meals
2.	St. John's College Law School	04/04 to 04/06	New York, NY	Teaching	Transportation, Lodging, Meals
3.	Columbia Law School	04/07 to 04/08	New York, NY	Moot Court	Transportation, Lodging Meals
4.	Duke University Law School	06/03 to 06/04	Durham, NC	Teaching	Transportation, Lodging, Meals
5.	New York University School of Law	07/17 to 07/23	Barcelona, Spain	Conference	Transportation, Lodging, Meals
6.	Tulane Law School Summer Program	07/23 to 08/04	Paris, France and Berlin, Germany	Teaching	Transportation, Lodging, Meals

7.	Foundation for Self Government	08/27 to 09/17	Hong Kong, Shanghai, Shenzhen, Nanjing and Beijing, China	Teaching and Lectures	Transportation, Lodging, Meals
8.	American College of Trial Lawyers	09/17 to 09/20	Philadelphia, PA	UK-US Legal Exchange	Lodging, Meals
9.	North Carolina Administrative Office of the U.S. Courts and North Carolina Bar Found.	09/28 to 09/29	Ashville, NC	2016 Inter-Court Conference	Transportation, Lodging, Meals
10.	University of Buffalo School of Law	10/19 to 10/21	Buffalo, NY	Speech/Conversation	Transportation, Lodging, Meals
11.	New York Historical Society	11/18 to 11/20	New York, NY	Film Program & Panel Discussion	Transportation, Lodging, Meals
12.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Bottega Mortet	Bronze Cast of Hand	$3,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Vang Inter Term Tax Ex Fund	D	Dividend	M	T					
2.	Van LT Tax Ex Fund	A	Dividend	J	T					
3.	Vang Star Mutual Fund	B	Dividend	L	T					
4.	Vang Wellington Mut Fund	D	Dividend	M	T					
5.	Vang Small Cap Stock Fund	C	Dividend	M	T					
6.	Windsor II	B	Dividend	K	T					
7.	Vang Total Stock Mkt Index F	C	Dividend	M	T	Buy (add'l)	12/21/16	J		
8.	Vanguard TE Mny Mkt	A	Dividend	L	T					
9.	Roth IRA (H)									
10.	-Edward Jones Investment (Cash Account)		None	J	T					
11.	-AES Corp	A	Dividend	J	T					
12.	-BHP Biliton PLC ADR	A	Dividend	J	T					
13.	-Loccitane Luxembourg	A	Dividend	J	T					
14.	-TJX Cos Inc	A	Dividend	J	T					
15.	Vanguard Target Retirement Acct 2015	A	Dividend	J	T					
16.	PNC Bank Accounts		None	M	T					
17.	Andrews Federal Credit Union (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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18.	Carson Energy (Y)									
19.	Traditonal IRA (H)									
20.	- Capital World Growth & Income Fund CLA	A	Dividend	J	T					
21.	- Eaton Vance Mutual Funds Trust Gov't Oblig LC A	A	Dividend	J	T					
22.	- Goldman Sachs Growth & Incom Strategy Fund CL A	A	Dividend	J	T					
23.	- Incom Fund of America CL A	A	Dividend	J	T					
24.	- Putnam Dynamic Asset- Allocation Growth Fund CL A	A	Dividend	J	T					
25.	- Putnam Dynamic Asset Allocation Balanced Fund CL A	A	Dividend	J	T	Sold (part)	12/01/16	J	A	
26.	Edward Jones #1 (H)									
27.	- Tax Free MMkt (Y)									
28.	- Fairfax Cnty VA Econ Dev Auth	A	Interest			Sold	06/21/16	J	A	
29.	- IN Local Pub Impt Bd Auth Rev	A	Interest			Sold	01/04/16	K	A	
30.	- Met WA DC Arpts Auth Sys Rev	A	Interest	J	T	Sold (part)	06/21/16	J	A	
31.	- Stafford Cnty & Staunton VA	A	Interest	K	T					
32.	- Virginia Comwith Transn Brd	A	Interest	J	T					
33.	- VA St Res Auth Wtr & Swr Rev	A	Interest	J	T					
34.	- VA St Res Auth Wtr & Swr Sys	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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35.	- Virginia Beach VA GO Pub Impt	B	Interest	K	T	Sold (part)	06/21/16	K	B	
36.	- US Tips	A	Interest	J	T	Sold (part)	06/21/16	J		
37.	- 3M Co	A	Dividend	K	T					
38.	- Abbott Laboratories	A	Dividend	J	T					
39.	- Abbvie Inc	A	Dividend	K	T					
40.	- Black Hills Corp	B	Dividend	K	T					
41.	- Boeing Co	A	Dividend	K	T					
42.	- Caterpiller Inc	A	Dividend	J	T					
43.	- Cdk Global Inc	A	Dividend	J	T					
44.	- ConocoPhillips	A	Dividend	J	T					
45.	- Cr Bard Inc	A	Dividend	L	T	Sold (part)	01/05/16	K	E	
46.	- E I Du Pont de Nemours & Co	A	Dividend	K	T					
47.	-Fortis Inc	A	Dividend	K	T	Buy	10/17/16	K		
48.	- Itc Holdings Corp	A	Dividend			Sold	10/17/16	K	D	
49.	- Jacobs Engineering Group Inc		None	J	T					
50.	- Johnson & Johnson	A	Dividend	K	T					
51.	- Johnson Controls Inc	A	Dividend			Sold	08/23/16	J	D	

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52.	- Merck & Co Inc. New	A	Dividend	K	T					
53.	- Molson Coors Brewing Co	A	Dividend	J	T	Sold (part)	01/05/16	J	C	
54.	- Mondelez International Inc	A	Dividend	J	T					
55.	- OGE Energy Corp	B	Dividend	L	T					
56.	- Oracle Corp	B	Dividend	L	T					
57.	- Parker Hannifin Corp	A	Dividend	J	T	Sold (part)	04/27/16	J	A	
58.						Sold (part)	04/27/16	J	C	
59.	- Phillips 66	A	Dividend	K	T					
60.	- PNC Bank Corp	A	Dividend	K	T					
61.	- Procter & Gamble Co	A	Dividend	K	T					
62.	- Schlumberger Limited	A	Dividend	J	T					
63.	- Sealed Air Corp	A	Dividend	K	T					
64.	- TJX Cox Inc	A	Dividend	K	T					
65.	- United Technologies Corp	A	Dividend	K	T					
66.	- Van Guard FTSE All-Wrld Exus ETF	A	Dividend	J	T					
67.	Edward Jones #2 (H)									
68.	- Edward Jones Cash & Money Market		None	J	T					

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69.	- Ishares MSCI EAFE Value ETF	B	Dividend			Sold	12/04/16	L		
70.	- Ishares Russ 1000 Growth ETF	A	Dividend	K	T					
71.	- Ishares Russ 1000 Value ETF	A	Dividend	K	T	Sold (part)	12/04/16	J	A	
72.	- Ishares Russ MC Value ETF	A	Dividend	K	T	Sold (part)	12/01/16	J	A	
73.	- Ishares S&P 500 Growth ETF	A	Dividend	K	T					
74.	- SPDR S&P Dividend ETF	B	Dividend	K	T	Sold (part)	12/01/16	J	A	
75.	- Vanguard High Div Yield ETF	B	Dividend	L	T	Sold (part)	12/04/16	J	A	
76.	- Vanguard Mid Cap Growth ETF	A	Dividend	K	T					
77.	- Vanguard FTSE All-Wrld Exus ETF	B	Dividend			Sold	12/01/16	K		
78.	- American Century T/F Bd-Int	B	Dividend			Sold	12/01/16	K		
79.	-Fidelity Inter Muni Income Fd I	A	Dividend	L	T	Buy	12/02/16	K		
80.						Buy (add'l)	12/02/16	K		
81.	- Franklin Fed Int Term T/F Inc	B	Dividend			Sold	12/01/16	K		
82.	- Franklin High Yield T/F Inc	B	Dividend			Sold (part)	12/01/16	J		
83.						Sold	12/01/16	K		
84.	-JP Morgan Fed Money Market (x)	A	Dividend	J	T					
85.	-JP Morgan Tr I Intl Value Fd Cl L	B	Dividend	K	T	Buy	12/02/16	K		

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86.	-MFS International Equity Fund	A	Dividend	K	T	Buy	12/02/16	J		
87.						Buy (add'l)	12/02/16	K		
88.	-MFS Municipal High Income Fd Cl I	A	Dividend	L	T	Buy	12/02/16	K		
89.						Buy (add'l)	12/02/16	K		
90.	- MFS Municipal Income	B	Dividend			Sold	12/01/16	K		
91.	-New World Fund Cl F2	A	Dividend	K	T	Buy	12/02/16	K		
92.	- T. Rowe Price Summit Muni Int	A	Dividend			Sold	12/01/16	K		
93.	- T. Rowe Price TE MMkt (y)									
94.	-T. Rowe Price Tax Free Short Inter	A	Dividend	L	T	Buy	12/02/16	K		
95.						Buy (add'l)	12/02/16	K		
96.	- Tax Exempt Bond Fund of America	B	Dividend			Sold	12/01/16	K		
97.	- Thornburg Limited Term Muni	B	Dividend			Sold	12/01/16	L		
98.	-Tiaa-Cref Instl Fd Intl Eqty	A	Dividend	K	T	Buy	12/02/16	J		
99.						Buy (add'l)	12/02/16	K		
100.	-USAA Tax Exempt Interm Term	A	Dividend	M	T	Buy	12/02/16	K		
101.						Buy (add'l)	12/02/16	K		
102.						Buy (add'l)	12/02/16	L		

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103.	- Wells Fargo Adv S/T Muni Bd	A	Dividend			Sold	12/01/16	K		
104.	Investment Account #3 (H)									
105.	- PNC Tax Exempt Money Market	A	Dividend	J	T					
106.	- Ishares 1-3 Year Treasury Bd ETF	B	Dividend	N	T					
107.	-SPDR Nuveen Bloomberg Barclays ST Muni Bd ETF	C	Dividend	N	T	Sold (part)	04/22/16	L	A	
108.	-T. Rowe Price VA T/F Bond Fd	B	Dividend	M	T	Buy	04/25/16	M		
109.	- Ishares S&P 100 ETF	B	Dividend	L	T	Sold (part)	04/22/16	J	A	
110.	-Ishares Edge MSCI USA Value Factor ETF	A	Dividend	J	T	Buy	04/27/16	J		
111.	-Vanguard FTSE Developed Markets ETF	A	Dividend	J	T	Buy	04/27/16	J		
112.	- Vanguard FTSE All World Ex-US Index Fund	A	Dividend	J	T	Sold (part)	04/22/16	K		
113.	- Vanguard FTSE Europe ETF	A	Dividend	K	T					
114.	- Vanguard Mid Cap ETF	A	Dividend	J	T					
115.	- Vanguard Large Cap ETF	B	Dividend	L	T					
116.	- Vanguard Growth ETF	A	Dividend	L	T					
117.	- Vanguard Value ETF	B	Dividend	L	T					
118.	- Wisdomtree Large Cap Dividend Fd ETF	A	Dividend	J	T					
119.	- Blackrock Global Allocation Fd	A	Dividend	J	T	Sold (part)	04/22/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.	-Blackrock Global Dividend Port Instl Class Fd	A	Dividend	K		Buy	04/25/16	K		
121.	-Dodge & Cox Int'l Stock Fd		None			Sold	04/22/16	K		
122.	-Goldman Sachs Eq Div Prem Is Fd	A	Dividend	K	T					
123.	-Vanguard Int'l Valu Fd	A	Dividend	K	T					
124.										
125.	Mineral Interest, Grady Cnty, OK		None	L	W					
126.										
127.	Estate (H)									
128.	- Appache Corp					Sold	07/13/16	J		
129.										
130.										
131.										
132.										
133.										
134.										

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Samuel A. Alito

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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