AO 10 Rev. 1/2015

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gorsuch, Neil M.	Tenth Circuit Court of Appeals	08/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
	Nomination Date	1/1/2015
Circuit Judge	Initial Annual Final	to 12/31/2015
	5b. Amended Report	12/81/2018
7. Chambers or Office Address		
Byron White Courthouse		
1823 Stout Street Denver, CO 80257		
	estructions accompanying this form must be followed. Compox for each part where you have no reportable information.	=
I. POSITIONS. (Reporting individual only; see pp. 9-13 of for NONE (No reportable positions.)	iling instructions.)	
<u>POSITION</u>	NAME OF ORG	SANIZATION/ENTITY
1. Member	Walden Group LLC (mountain property	y)
2. Executive Committee, Board of Directors	Federal Judges Association	
3. Adjunct Professor	University of Colorado Law School	
4. Member	University of Chicago Law School's Vi	siting Committee
5.		
II. AGREEMENTS. (Reporting individual only; see pp.	14-16 of filing instructions.)	
NONE (No reportable agreements.)		
DATE	PARTIES AND TERMS	
1. 2015 University of Co	olorado Law School - teaching agreement	
2.		
3.		

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Name of Person Reporting

Gorsuch, Neil M.

Date of Report

08/11/2016

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section. (Dollar amount not required except for honoraria.) NONE (No reportable non-investment income.) DATE SOURCE AND TYPE 1. 2. 3. 4. IV. REIMBURSEMENTS transportation, lodging, food, entertainment. (Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.) NONE (No reportable reimbursements.) SOURCE DATES LOCATION PURPOSE ITEMS PAID OR PROVIDED 1. Renaissance Weekend 07/02/15-07/06/15 Jackson Hole, WY educational program Meals, program, hotel (self and family) 2. American College of Trial Lawyers-Legal Exchange. 3.	A. Filer's Non-Investment	Income			
1. 2015 Princeton University Press - book royalties S304.94 2. 2015 University of Colorado Law School - teaching S26,000.00 3. 2015 West Publishing Corporation - book royalties S5,000.00 4. B. Spouse's Non-Investment Income - 1/you were married during any partian of the reporting year, complete this section.	NONE (No reportab	le non-investment inc	rome.)		
2. 2015 University of Colorado Law School - teaching \$26,000.00 3. 2015 West Publishing Corporation - book royalities \$5,000.00 4. B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section. Dollar amount not required except for homorata.) NONE (No reportable non-investment income.) DATE SOURCE AND TYPE 1. 2. 3. 4. IV. REIMBURSEMENTStransportation, todging, food, entertainment. (Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.) NONE (No reportable reimbursements.) SOURCE DATES LOCATION PURPOSE ITEMS PAID OR PROVIDED 1. Renaissance Weekend 07:02/15-07:06/15 Jackson Hole, WY educational program Meals, program, hotel (self and family) 2. American College of Trial Lawyers-Legal Exchange. 3.	<u>DATE</u>		SOURCE AN	<u>D TYPE</u>	
3. 2015 West Publishing Corporation - book royalties \$\$5,000.00\$ 4. B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section. (Dollar amount not required except for honoraria.) NONE (No reportable non-investment income.) DATE SOURCE AND TYPE 1. 2. 3. 4. IV. REIMBURSEMENTS - transportation, lodging, food, entertainment. (Includes those to spouse and dependent children: see pp. 25-27 of filing instructions.) NONE (No reportable reimbursements.) SOURCE DATES LOCATION PURPOSE ITEMS PAID OR PROVIDED 1. Renaissance Weekend 07/02/15-07/06/15 Jackson Hole, WY educational program Meals, program, hotel (self and family) 2. American College of Trial Lawyers-Legal Exchange. 3.	1. 2015	Princeton U	niversity Press - book royalti	es	\$304.94
B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section. (Dollar amount not required except for homoraria.) NONE (No reportable non-investment income.) DATE SOURCE AND TYPE 1. 2. 3. 4. IV. REIMBURSEMENTS - transportation, lodging, food, entertainment. (Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.) NONE (No reportable reimbursements.) SOURCE DATES LOCATION PURPOSE ITEMS PAID OR PROVIDED 1. Renaissance Weekend 07/02/15-07/06/15 Jackson Hole, WY educational program Meals, program, hotel (self and family) 2. American College of Trial Lawyers-Legal Exchange. 3.	2. 2015	University o	f Colorado Law School - tea	ching	\$26,000.00
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DATE SOURCE AND TYPE 1. 2. 3. 4. IV. REIMBURSEMENTS transportation, lodging, food, entertainment. (Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.) NONE (No reportable reimbursements.) SOURCE DATES LOCATION PURPOSE ITEMS PAID OR PROVIDED 1. Renaissance Weekend 07/02/15-07/06/15 Jackson Hole, WY educational program Meals, program, hotel (self and family) 2. American College of Trial Lawyers-Legal Exchange. 09/03/15-09/11/2015 London, England educational program Transportation, meals, program, hotel (self and spouse)	4.	-			
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2. 3. 4. IV. REIMBURSEMENTS - transportation, lodging, food, entertainment. (Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.) NONE (No reportable reimbursements.) SOURCE DATES LOCATION PURPOSE ITEMS PAID OR PROVIDED 1. Renaissance Weekend 07/02/15-07/06/15 Jackson Hole, WY educational program Meals, program, hotel (self and family) 2. American College of Trial Lawyers-Legal Exchange. 09/03/15-09/11/2015 London, England educational program Transportation, meals, program, hotel (self and spouse)	<u>DATE</u>		SOURCE AN	<u>D TYPE</u>	
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IV. REIMBURSEMENTS transportation, lodging, food, entertainment. (Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.) NONE (No reportable reimbursements.) SOURCE DATES LOCATION PURPOSE ITEMS PAID OR PROVIDED 1. Renaissance Weekend 07/02/15-07/06/15 Jackson Hole, WY educational program Meals, program, hotel (self and family) 2. American College of Trial Lawyers-Legal Exchange. 09/03/15-09/11/2015 London, England educational program Transportation, meals, program, hotel (self and spouse)	2.				
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IV. REIMBURSEMENTS transportation, lodging, food, entertainment. (Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.) NONE (No reportable reimbursements.) SOURCE DATES LOCATION PURPOSE ITEMS PAID OR PROVIDED 1. Renaissance Weekend 07/02/15-07/06/15 Jackson Hole, WY educational program Meals, program, hotel (self and family) 2. American College of Trial Lawyers-Legal Exchange. 09/03/15-09/11/2015 London, England educational program (self and spouse)	4.				
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2. American College of Trial Lawyers-Legal Exchange. O9/03/15-09/11/2015 London, England educational program Transportation, meals, program, hotel (self and spouse) 3.	SOURCE	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	ITEMS PAID OR PROVIDED
Lawyers-Legal Exchange. (self and spouse) 3.	Renaissance Weekend	07/02/15-07/06/15	Jackson Hole, WY	educational program	Meals, program, hotel (self and family)
		09/03/15-09/11/2015	London, England	educational program	
4.	3.	_			
	4.				

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting	Date of Report
Page 3 of 9	Gorsuch, Neil M.	08/11/2016

Page 3 of 9	Gorsuch, Neil M.	08/11/2016
V. GIFTS. (Includes those to spouse and dependent chil	dren; see pp. 28-31 of filing instructions.)	
NONE (No reportable gifts.)		
<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		
VI. LIABILITIES. (Includes those of spouse and	dependent children; see pp. 32-33 of filing instructions.)	
NONE (No reportable liabilities.)		
CREDITOR	<u>DESCRIPTION</u>	VALUE CODE
1.		
2.		

3.

4.

5.

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Name of Person Reporting Date of Report Gorsuch, Neil M. 08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)		B. me during ting period	Gross va	C. lue at end ing period		Transactio	D. ons during	reporting p	eriod
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
USAA Brokerage Acct:									
2 Money Market, Tax Exempt	A	Int./Div.	J	Т					
3 Long Term Tax Ex Bond Fund	D	Int./Div.	M	Т	Sold (part)	08/17/15	K	A	
4 High Yield Bond Fund	D	Int./Div.	M	Т					
5 Int'l Fund	Е	Int./Div.	N	Т					
6 S&P Fund	D	Int./Div.	N	Т					
7 Value Fund	D	Int./Div.	M	Т					
8 Interm Tax Ex Bond Fund	D	Int./Div.	N	Т					
9 Small Cap Fund	Е	Int./Div.	М	Т					
10 Short Term Tax Ex Bond Fund	В	Int./Div.	М	Т					
11. Walden Group LLC		None	N	W					
12. 529 Plans - VA Educ Savings Trust (Age Based)	e A	Int./Div.	О	Т					
13. 529 Plans - College Invest Savings Plan (Age Based)	A	Int./Div.	L	Т					
14. Spouse 403B Plan - TIAA CREF	A	Int./Div.	J	Т					
15. Senate Credit Union checking	A	Int./Div.	J	Т					
16. USAA IRA Acct:									
17Target Retirement 2030 Fund	Е	Int./Div.	О	Т					

1. Income Gain Codes: (See Columns B1 and D4) 2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 $Q = \!\! Appraisal$

R =Cost (Real Estate Only) V =Other U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

O =\$500,001 - \$1,000,000

K =\$15,001 - \$50,000

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E=\$15,001 - \$50,000

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ĺ	Name of Person Reporting	Date of Report
	Gorsuch, Neil M.	08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A.		B.		C.			D.		
	Description of Assets (including trust assets)		ne during ing period		lue at end ing period		Transactio	ons during	reporting pe	riod
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
8.	Spouse USAA IRA Acct:									
19.	-Target Retirement 2030 Fund	В	Int./Div.	L	Т					
20.	USAA SEP IRA Acct:									
21.	- Money Market	A	Int./Div.	J	Т					
22.	- Precious Metals & Minerals Fund	A	Int./Div.	J	Т					
23.	- Short Term Bond Fund	A	Int./Div.	K	Т					
24.	-Income Fund	A	Int./Div.	K	Т					
25.	- Intermediate Bond Fund	A	Int./Div.	J	Т					
26.	- USAA Real Return Fund	A	Int./Div.	J	Т					
27.	- USAA Managed Allocation Fd	A	Int./Div.	J	Т					
28.	- USAA High Income Fd	A	Int./Div.	J	Т					
29.	- USAA Emerging Markets Fd	A	Int./Div.	J	Т					
30.	- USAA International Fund Inst	A	Int./Div.	K	Т					
31.	- USAA Small Cap Stock Fund Inst	A	Int./Div.	J	Т					
32.	- USAA Income Stock Fund Inst	A	Int./Div.	J	Т					
33.	- USAA Growth Fund Instl	A	Int./Div.	J	Т	Sold (part)	10/26/15	J	A	
34.	USAA CD Acct:					* *				

1. Income Gain Codes: (See Columns B1 and D4) 2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

 $Q = \!\! Appraisal$ U =Book Value

B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000 $S = \!\! Assessment$

W =Estimated

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000 T =Cash Market

E=\$15,001 - \$50,000

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Name of Person Reporting	Date of Report
Gorsuch, Neil M.	08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets	Inco	B. ne during		C. lue at end		Transactio	D. ons during	g reporting pe	eriod
	(including trust assets)	report	ing period	of report	ing period					
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.	- Money Market	A	Int./Div.	J	Т					
36.	USAA P.I. Mgmt Acct:									
37.	- Money Market, Tax Ex Fund	A	Int./Div.	L	Т					
38.	- Aurora Wtr Rev Muni Bond 8/1/22	В	Interest	K	Т					
39.	- Boulder County CO Open Space Cap 7/15/22	В	Interest	L	Т					
40.	- Boulder Sch Dist 2 Muni Bond 12/1/15	Е	Interest			Redeemed	12/01/15	K		
41.	- Broomfield Sales and Use Tax 12/1/27	В	Interest	L	Т					
42.	- Colo St Brd Gov Unv Entr Sys Muni Bond 3/1/16	В	Interest	K	Т					
43.	- Colo Springs Col Util Muni Bond 11/15/20	A	Interest	K	Т					
44.	- Colo Springs Col Utl Muni Bond 11/15/26	В	Interest	K	Т					
45.	- Colo Wtr Res & Pwr Muni Bond 8/1/24	В	Interest			Redeemed	08/03/15	K		
46.	- Denver Exc Tax Rev Muni Bond 9/1/18	В	Interest	K	Т					
47.	-Denver Colo City & Cnty	В	Interest	K	Т	Buy	06/12/15	K		
48.	- Eagle Garfield Routt Muni Bond 12/1/23	В	Interest	K	Т					
49.	- Longmont Co Enterprise WSTWTR Rev 11/1/26	В	Interest	K	Т					
50.	- Mesa College Muni Bond 5/15/23	В	Interest	K	Т					
51.	- Pueblo Cnty Sch Dist Muni Bond 12/15/21	В	Interest	K	Т					

Income Gain Codes:
 (See Columns B1 and D4)
 Value Codes

. Value Codes (See Columns C1 and D3)

3. Value Method Codes (See Column C2) A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N -\$250,001 - \$500,000

U =Book Value

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 Q =Appraisal B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

P4 =More than \$50,000,000 S =Assessment

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting Date of Report Gorsuch, Neil M. 08/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	NONE (No reportable income, ass	,		/						
	A.		B.		C.			D.		
	Description of Assets		me during		lue at end		Transactio	ons during	reporting	period
	(including trust assets)	-	ting period	-	ng period					
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Place "(X)" after each asset	Amount Code 1	Type (e.g.,	Value	Value	Type (e.g.,	Date	Value	Gain	Identity of
	exempt from prior disclosure	(A-H)	div., rent,	Code 2	Method	buy, sell,	mm/dd/yy		Code 1	buyer/seller
		(1111)	or int.)	(J-P)	Code 3 (Q-W)	redemption)		(J-P)	(A-H)	(if private transaction)
					(Q-W)					transaction)
50	G G G		_				1			
52.	- Summit Cnty School Dist 12/1/21	В	Interest	K	T					
53.	-Univ Colo Ent Sys Muni Bond 6/1/25	A	Interest			Redeemed	06/01/15	K		
54.	-Univ Colo Ent Sys 6/1/29	В	Interest	K	T	Buy	12/22/15	K		
55.	-Univ Colo Ent Sys 6/1/31	В	Interest	K	T	Buy	08/07/15	K		
56.	- Weld Sch Dist Muni Bond 12/1/19	В	Interest	K	Т					
57.	- Westminster Sls and Use Muni Bond	В	Interest			Redeemed	12/01/15	L		
	12/1/15		interest			Redeemed	12/01/13	L		
58.	- University Northn Colo Greeley Inst Bond	В	Tt.	I/	т					
56.	6/1/30	В	Interest	K	T					
50		_	_		_					
59.	- Greely Colo Wtr Rev Ref Bds 8/01/22	В	Interest	K	T					
60.	- Arapahoe Cnty Solo Sch Dist 12/01/28	В	Interest	L	T					
61.	-Platte River Pwr 6/1/25	В	Interest	K	T	Buy	01/28/15	K		
62.	- Short Term Tax Ex Bond Fund	A	Int./Div.	K	Т	Sold	01/26/15	J		
						(part)				
63.	Spouse USAA Rev Trust:									
64.	- Money Market, Tax Ex Fund	A	Interest	K	Т					
		1	interest	IX.						
65	- USAA Savings CD 01/09/15	4	T., 4 4			D - d d	01/00/15	IZ.		
05.	OSAM Savings CD 01/07/13	A	Interest			Redeemed	01/09/15	K		
	Harrie CD 07/15/16				_	_				
66.	-USAA Savings CD 07/15/16	A	Interest	K	T	Buy	01/15/15	K		
67.	- USAA Savings CD 01/09/17	A	Interest	K	T					
68.	Spouse Banco Santander SA (SAN)		None			Sold	05/02/15	J		

1. Income Gain Codes: (See Columns B1 and D4)

2. Value Codes (See Columns C1 and D3)

3. Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

U =Book Value

G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 N =\$250,001 - \$500,000 O =\$500,001 - \$1,000,000 P3 =\$25,000,001 - \$50,000,000 $Q = \!\! Appraisal$

R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000 $S = \!\! Assessment$

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E=\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT	Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

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Name of Person Reporting	Date of Report
Gorsuch, Neil M.	08/11/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Neil M. Gorsuch

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544