AO 10 Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2015

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
KAGAN, ELENA	SUPREME COURT	05/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
ASSOCIATE JUSTICE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2015 to 12/31/2015
7. Chambers or Office Address		
1 FIRST STREET NE WASHINGTON, D.C. 20543		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	VISITING PROFESSOR (SEPTEMBER 2015)	HARVARD LAW SCHOOL
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/11/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2015	PRESIDENT AND FELLOWS OF HARVARD COLLEGE - TEACHING	$15,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	THE UNIVERSITY OF CHICAGO LAW SCHOOL	FEBRUARY 1-2, 2015	CHICAGO, IL	SPEECH	TRANSPORTATION, MEALS
2.	NORTHWESTERN UNIVERSITY SCHOOL OF LAW	FEBRUARY 3, 2015	CHICAGO, IL	SPEECH	HOTEL, MEALS
3.	STANFORD LAW SCHOOL	FEBRUARY 4-5, 2015	STANFORD, CA	SPEECH, MOOT COURT	TRANSPORTATION, HOTEL, MEALS
4.	HARVARD LAW SCHOOL	JUNE 17, 2015	CAMBRIDGE, MA	SPEECH	TRANSPORTATION

5.	HEBREW UNIVERSITY OF JERUSALEM FACULTY OF LAW	AUGUST 29-SEPTEMBER 5, 2015	MT. SCOPUS, JERUSALEM	SPEECH	TRANSPORTATION, HOTEL, MEALS
6.	HARVARD LAW SCHOOL	SEPTEMBER 8-12, 2015	CAMBRIDGE, MA	TEACHING, SPEECH	TRANSPORTATION, HOTEL, MEALS
7.	THE OHIO STATE UNIVERSITY MORITZ COLLEGE OF LAW	SEPTEMBER 29-30, 2015	COLUMBUS, OH	SPEECH	TRANSPORTATION, HOTEL, MEALS
8.	HARVARD LAW SCHOOL	NOVEMBER 15-18, 2015	CAMBRIDGE, MA	MOOT COURT, SPEECH	TRANSPORTATION, HOTEL, MEALS

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/11/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	THE UNIVERSITY OF CHICAGO LAW SCHOOL	THE PUBLIC AND ITS GOVERNMENT BY FELIX FRANKFURTER (FIRST EDITION; SIGNED BY AUTHOR)	$499.94
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/11/2016

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. JUSTICE FEDERAL CREDIT UNION ACCOUNT	A	Interest	N	T					
2. FRANKLIN TEMPLETON MUTUAL BEACON FUND	D	Dividend	L	T					
3. VANGUARD PRIME MONEY MKT FUND	A	Dividend	K	T					
4. VANGUARD TOTAL STOCK MKT INDEX ADM	C	Dividend	M	T					
5. UNIV. OF CHICAGO RET - TIAA TRADITIONAL GUARANTEED FIXED ANNUITY	B	Interest	L	T					
6. UNIV. OF CHICAGO RET - CREF STOCK QCSTIX		None	K	T					
7. UNIV. OF CHICAGO RET - CREF BOND MARKET VIABILITY ANNUITY QCBMIX		None	K	T					
8. UNIV. OF CHICAGO RET - VANGUARD INT-TERM BOND	A	Dividend	K	T					
9. UNIV. OF CHICAGO RET - VANGUARD WINDSOR FUND ADMIRAL	C	Dividend	K	T					
10. IRA #1 - FIDELITY MAGELLAN FUND	D	Dividend	M	T					
11. IRA #1 - FIDELITY PURITAN FUND	D	Dividend	M	T					
12. IRA #1 - FIDELITY INTERMED BOND FUND	B	Dividend	L	T					
13. IRA #2 - VANGUARD TOTAL BOND MKT INDEX	A	Dividend	K	T					
14. IRA #2 - VANGUARD FED MONEY MKT FUND	A	Dividend	J	T					
15. IRA #2 - VANGUARD WELLESLEY INC FUND	C	Dividend	L	T					
16. IRA #2 - VANGUARD 500 INDEX FUND	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/11/2016

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. IRA #2 - VANGUARD INTL EXPLORER FUND	B	Dividend	K	T					
18. DREYFUS GNMA FUND - CLASS Z	A	Dividend	J	T					
19. VANGUARD - GNMA FUND INVESTOR SHARES	A	Dividend	J	T					
20. CHARLES SCHWAB MONEY MARKET ACCOUNT	A	Dividend	L	T	Distributed (part)	06/25/15	J		
21. SCHWAB S&P 500 INDEX FUND	C	Dividend	M	T					
22. WASHINGTON, DC - RENTAL PROPERTY	B	Rent	K	W					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS:

LINE 6: CREF STOCK TRANSFER OF CLASS WITHIN FUND, RESULTING IN NAME CHANGE FROM QCSTRX TO QCSTIX.

LINE 7: CREF BOND TRANSFER OF CLASS WITHIN FUND, RESULTING IN NAME CHANGE FROM QCBMRX TO QCBMIX.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ ELENA KAGAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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